

# A Higher Structure Identity Principle

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# Indiscernability of identicals

## Indiscernability of identicals

$$x = y \rightarrow \forall P (P(x) \leftrightarrow P(y))$$

- Reasoning **in logic** is invariant under equality
- **In mathematics**, reasoning should be invariant under weaker notion of sameness!

## Equivalence principle

**Reasoning** in mathematics should be **invariant under** the appropriate notion of **sameness**.

# Aczel's Structure Identity Principle

Notion of sameness depends on the objects under consideration:

An equivalence principle for group theorists

$$G \cong H \rightarrow \forall \text{ group-theoretic properties } P, (P(G) \leftrightarrow P(H))$$

An equivalence principle for category theorists

$$A \simeq B \rightarrow \forall \text{ category-theoretic properties } P, (P(A) \leftrightarrow P(B))$$

Aczel's Structure Identity Principle (SIP)

*Isomorphic mathematical structures are structurally identical; i.e. have the same structural properties.*

# Aczel's Structure Identity Principle

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Aczel's Structure Identity Principle (SIP)

*Isomorphic mathematical structures are structurally identical; i.e. have the same structural properties.*

What are “structural” properties?

# Violating the equivalence principle

What is **not** a structural property?

## Exercise

Find a statement about categories that is not invariant under the equivalence of categories



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### A solution

“The category  $\mathcal{C}$  has exactly one object.”

## Violating the equivalence principle

What is **not** a structural property?

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Find a statement about categories that is not invariant under the equivalence of categories



### A solution

“The category  $\mathcal{C}$  has exactly one object.”

Can we rule out such “non-structural” statements?

## A language for invariant properties

Michael Makkai, *Towards a Categorical Foundation of Mathematics*:  
*The basic character of the Principle of Isomorphism is that of a **constraint on the language** of Abstract Mathematics; a welcome one, since it provides for the separation of sense from nonsense.*



## A language for invariant properties

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### Makkai's FOLDS (First Order Logic with Dependent Sorts)

A language for categorical structures in which only invariant properties can be expressed

- FOLDS is not a foundation of mathematics
- Invariance only for properties, not for constructions

# Univalent foundations and the equivalence principle

## Voevodsky's goals

- Univalent foundations as an “invariant language”
- Invariance not only for statements, but also for constructions: **constructions on things can be transported along equivalences of things**

## Essential ingredients for EP in UF

- Martin-Löf identity type
- Voevodsky's univalence axiom

## Transport along equivalence of types

$$x =_{\mathcal{U}} y \rightarrow \prod_{(P:\mathcal{U} \rightarrow \mathcal{U})} (P(x) \simeq P(y))$$

$$\text{univalence : } \prod_{(x,y:\mathcal{U})} (x =_{\mathcal{U}} y \simeq x \simeq y)$$

$$x \simeq y \rightarrow \prod_{(P:\mathcal{U} \rightarrow \mathcal{U})} (P(x) \simeq P(y))$$

## Transport along isomorphism of groups

$$x =_{\text{Grp}} y \rightarrow \prod_{(P:\text{Grp} \rightarrow \mathcal{U})} (P(x) \simeq P(y))$$

$$\text{univalence : } \prod_{(x,y:\text{Grp})} (x =_{\text{Grp}} y \simeq x \cong y)$$

$$x \cong y \rightarrow \prod_{(P:\text{Grp} \rightarrow \mathcal{U})} (P(x) \simeq P(y))$$

# Transport along isomorphism of groups

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## Structure Identity Principle

- Works similarly for many other structures that form a 1-category/groupoid
- Coquand & Danielsson, “Isomorphism is equality”
- HoTT book, Section 9.9

## Transport along equivalence of **univalent** categories

$$x =_{\text{uCat}} y \rightarrow \prod_{(P:\text{uCat} \rightarrow \mathcal{U})} (P(x) \simeq P(y))$$

$$\text{univalence : } \prod_{(x,y:\text{uCat})} (x =_{\text{uCat}} y \simeq x \simeq y)$$

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# Transport along equivalence of **univalent** categories

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$$x \simeq y \rightarrow \prod_{(P:\text{uCat} \rightarrow \mathcal{U})} (P(x) \simeq P(y))$$

## SIP for categories

- Holds only for categories that satisfy themselves a univalence condition: **local univalence implies global univalence**
- Univalent categories are the right notion of categories in univalent foundations

# Our work: Transport along equivalence of higher structures

- Define a notion of “signature” for higher-categorical structures
- Given signature  $\mathcal{L}$ , define
  - $\mathcal{L}$ -structures
  - Univalence of  $\mathcal{L}$ -structures
  - Equivalence between  $\mathcal{L}$ -structures
- Prove a univalence result for univalent  $\mathcal{L}$ -structures:

$$\text{univalence : } \prod_{(x,y:\mathbf{Struc}_{\mathcal{L}})} ((x =_{\mathbf{uStruc}_{\mathcal{L}}} y) \simeq (x \simeq_{\mathbf{uStruc}_{\mathcal{L}}} y))$$



# Overview

## In this talk

- Review of univalent foundations
- Univalence for set-level structures (Structure Identity Principle)
- Univalence for **univalent** categories
- Univalence for other higher-categorical structures (Higher Structure Identity Principle)

# Overview

- 1 Primer: Martin-Löf type theory
- 2 Some important concepts in univalent foundations
- 3 The Structure Identity Principle for set-level structures
- 4 Equivalence principle for categories
- 5 FOLDS signature and structures for categories
- 6 Digression: equality and theories
- 7 Isomorphisms and univalence
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# Martin-Löf's dependent type theory

## Summary: Martin-Löf type theory

- A language for mathematics and functional programming
- Consists of types and terms
- Every term has a unique type, written  $a : A$
- **Type dependency:** type families with parameter(s)

## Example (Dependent types)

- $\text{Vector}(A, n)$  — type of vectors over  $A$  of length  $n$
- $\text{isEven}(n)$  — propositions are types
- $a =_A b$  — identity type for  $a, b : A$

## Overview of types in type theory

Type former	Notation	(special case)	canonical term
Inhabitant	$a : A$		
Dependent type	$x : A \vdash B(x)$		
Sigma type	$\sum_{x:A} B(x)$	$A \times B$	$(a, b)$
Product type	$\prod_{x:A} B(x)$	$A \rightarrow B$	$\lambda(x : A).b$
Coproduct type	$A + B$		$\text{inl}(a), \text{inr}(b)$
Identity type	$a = b$		$\text{refl}(a) : a = a$
Universe	$\mathcal{U}$		
Base types	$\text{Nat}, \text{Bool}, 1, 0$		

# Universes

## Universes

- There is also a type  $\mathcal{U}$ . Its elements are types,  $A : \mathcal{U}$ .
- The dependent type  $x : A \vdash B$  is equivalently a function

$$\lambda x. B : A \rightarrow \mathcal{U}$$

What is the type of  $\mathcal{U}$ ?

- Actually, hierarchy  $(\mathcal{U}_i)_{i \in I}$  to avoid paradoxes.
- But we ignore this for the most part, and only write  $\mathcal{U}$ .

$$(n : \text{Nat}), (A : \mathcal{U}) \vdash \text{Vect}(A, n) : \mathcal{U}$$

# Identity vs equality

## Inhabitants of $x = y$ behave like equality in many ways

- $\text{sym} : \prod_{x,y:A} x = y \rightarrow y = x$
- $\text{trans} : \prod_{x,y,z:A} x = y \times y = z \rightarrow x = z$
- $\text{transport}^A : \prod_{x,y:A} x = y \rightarrow \prod_{B:A \rightarrow \mathcal{U}} (B(x) \simeq B(y))$

## Inhabitants of $x = y$ behave **unlike** equality

- Can iterate identity type
- Cannot show that any two identities are identical

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# The important features of univalent foundations

## Homotopy levels

- Stratification of types according to “complexity” of their identity types
- Logic: notion of propositions given by one layer of this hierarchy

## Univalence axiom

Specifies the identity type of a universe, which is unspecified in pure Martin-Löf type theory

# Logic in univalent foundations

## Propositions

Type  $A$  is a proposition when we can define a term of type

$$\text{isProp}(A) \quad :\equiv \quad \prod_{x,y:A} x = y$$

$$\text{Prop} \quad :\equiv \quad \sum_{X:\mathcal{U}} \text{isProp}(X) \quad \text{world of propositions}$$

- implication  $A \rightarrow B$
- conjunction  $A \times B$
- predicate  $B : A \rightarrow \text{Prop}$
- universal quantification  $\prod_{a:A} B(a)$
- disjunction and existential need extra care

# Contractible types, propositions and sets

- $A$  is **contractible**

$$\text{isContr}(A) \equiv \sum_{x:A} \prod_{y:A} y = x$$

- $A$  is a **proposition**

$$\text{isProp}(A) \equiv \prod_{x,y:A} x = y$$

- $A$  is a **set**

$$\text{isSet}(A) \equiv \prod_{x,y:A} \text{isProp}(x = y)$$

$$\text{Prop} \equiv \sum_{X:\mathcal{U}} \text{isProp}(X) \quad \text{Set} \equiv \sum_{X:\mathcal{U}} \text{isSet}(X)$$

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$$\text{Prop} \equiv \sum_{X:\mathcal{U}} \text{isProp}(X) \quad \text{Set} \equiv \sum_{X:\mathcal{U}} \text{isSet}(X)$$

# Equivalences

## Definition

A map  $f : A \rightarrow B$  is an **equivalence** if it has contractible fibers, i.e.,

$$\text{isequiv}(f) \quad :\equiv \quad \prod_{b:B} \text{isContr} \left( \sum_{a:A} f(a) = b \right)$$

The type of equivalences:

$$A \simeq B \quad :\equiv \quad \sum_{f:A \rightarrow B} \text{isequiv}(f)$$

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# Monoids in type theory

In type theory, a monoid is a tuple  $(M, \mu, e, \alpha, \lambda, \rho)$  where

1.  $M : \mathbf{Set}$
2.  $\mu : M \times M \rightarrow M$
3.  $e : M$
4.  $\alpha : \prod_{(a,b,c:M)} \mu(\mu(a,b),c) = \mu(a,\mu(b,c))$
5.  $\lambda : \prod_{(a:M)} \mu(e,a) = a$
6.  $\rho : \prod_{(a:M)} \mu(a,e) = a$

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Why  $M : \mathbf{Set}$ ?



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Why  $M : \mathbf{Set}$ ?

Abstractly, a monoid is a (dependent) pair  $(data, proof)$  where

- *data* is 1.–3.
- *proof* is 4.–6.

## The type of monoids

- We want two monoids  $(data, proof)$  and  $(data', proof')$  to be the same if  $data$  is the same as  $data'$ .
- This is guaranteed when the types of  $proof$  and  $proof'$  are **propositions**.
- This in turn is guaranteed when  $M$  is a **set**.

Summarily:

$$\text{Monoid} \quad :\equiv \quad \sum_{(M:\text{Set})} \sum_{(\mu, e):\text{MonoidStr}(M)} \text{MonoidAxioms}(M, (\mu, e))$$

Can show

$$\text{isProp}(\text{MonoidAxioms}(M, (\mu, e)))$$

## Monoid isomorphisms

Given  $\mathbf{M} \equiv (M, \mu, e, \alpha, \lambda, \rho)$  and  $\mathbf{M}' \equiv (M', \mu', e', \alpha', \lambda', \rho')$ , a **monoid isomorphism** is a bijection  $f : M \cong M'$  preserving  $\mu$  and  $e$ .

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$$\begin{aligned} \mathbf{M} = \mathbf{M}' &\simeq (M, \mu, e) = (M', \mu', e') \\ &\simeq \sum_{p: M=M'} (\text{transport}^{Y \mapsto (Y \times Y \rightarrow Y)}(p, \mu) = \mu') \\ &\quad \times (\text{transport}^{Y \mapsto Y}(p, e) = e') \\ &\simeq \sum_{f: M \cong M'} (f \circ \mu \circ (f^{-1} \times f^{-1}) = \mu') \\ &\quad \times (f \circ e = e') \\ &\simeq \mathbf{M} \cong \mathbf{M}' \end{aligned}$$

# Transport along monoid isomorphism

We now have two ingredients:

1.

$$\text{transport}_{\mathbf{M}, \mathbf{M}'} : (\mathbf{M} = \mathbf{M}') \rightarrow \prod_{B: \text{Monoid} \rightarrow \mathcal{U}} (B(\mathbf{M}) \simeq B(\mathbf{M}'))$$

2.

$$(\mathbf{M} = \mathbf{M}') \simeq (\mathbf{M} \cong \mathbf{M}')$$

Composing these, we get

$$\text{transport}_{\mathbf{M}, \mathbf{M}'} : (\mathbf{M} \cong \mathbf{M}') \rightarrow \prod_{B: \text{Monoid} \rightarrow \mathcal{U}} (B(\mathbf{M}) \simeq B(\mathbf{M}'))$$

I.e., any structure on monoids that can be expressed in univalent type theory can be transported along isomorphism of monoids.

# Structure Identity Principle

## Structure Identity Principle (Aczel, Coquand&Danielsson)

For many set-level structures in univalent foundations, paths are isomorphisms.

Examples include:

- monoids, groups, rings
- posets
- discrete fields
- sets with fixpoint operator

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What about **categories**?

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## Categories in type theory

A **category**  $\mathcal{C}$  is given by

- a type  $\mathcal{C}_0 : \mathcal{U}$  of **objects**
- for any  $a, b : \mathcal{C}_0$ , a set  $\mathcal{C}(a, b) : \mathcal{U}$  of **morphisms**
- operations: identity & composition

$$1_a : \mathcal{C}(a, a)$$

$$(\circ)_{a,b,c} : \mathcal{C}(b, c) \rightarrow \mathcal{C}(a, b) \rightarrow \mathcal{C}(a, c)$$

- axioms: unitality & associativity

$$1 \circ f = f \quad f \circ 1 = f \quad (h \circ g) \circ f = h \circ (g \circ f)$$

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$$1 \circ f = f \quad f \circ 1 = f \quad (h \circ g) \circ f = h \circ (g \circ f)$$

A **univalent category** is a category  $\mathcal{C}$  such that

$$(a = b) \rightarrow (a \cong b)$$

is an equivalence for all  $a, b : \mathcal{C}_0$ .

# Local univalence implies global univalence

## Theorem

*For categories  $A$  and  $B$ , let  $A \simeq B$  denote the type of equivalences from  $A$  to  $B$ . If  $A$  and  $B$  are univalent, we have*

$$(A =_{\text{uCat}} B) \simeq (A \simeq B).$$

## Corollary

*If  $A$  and  $B$  are equivalent univalent categories, then they share the same properties.*

*For any  $X : \text{uCat} \vdash P(X) : \mathcal{U}$ ,*

$$(A \simeq B) \rightarrow (P(A) \simeq P(B)).$$

## Goal

Prove a similar theorem for other categorical structures.

### Envisioned result

Given a signature  $\mathcal{L}$ , and two  $\mathcal{L}$ -univalent  $\mathcal{L}$ -structures  $M$  and  $N$ , then

$$(M = N) = (M \simeq_{\mathcal{L}} N)$$

Need notions of

- signatures  $\mathcal{L}$
- $\mathcal{L}$ -structures
- $\mathcal{L}$ -equivalence of  $\mathcal{L}$ -structures
- $\mathcal{L}$ -univalence of  $\mathcal{L}$ -structures

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## Two-level type theory

Working in the two-level type theory of Annenkov-Capriotti-Kraus.

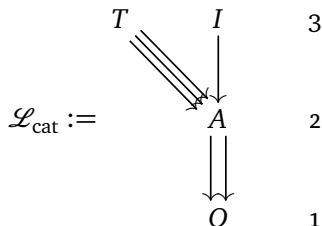
- Universes  $\mathcal{U} \hookrightarrow \mathcal{U}^s$
- $\mathcal{U}$  implements univalent type theory.
- Every type  $T : \mathcal{U}^s$  is equipped with a strict equality type  $a \equiv_T b$  with the usual rules for the identity type, but which also satisfies UIP.

# FOLDS-signatures

## Definition

A signature is a graded one-way semicategory of finite height:

- all arrows go “downwards”
- the height is the minimum natural number with non-empty type of objects

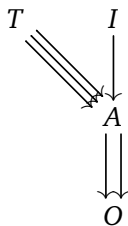


with some strict equalities  
between morphisms

## Overview: $\mathcal{L}_{\text{cat}}$ -structures

We can define the data of a category  $\mathcal{C}$  to be

- A type  $\mathcal{C}O : \mathcal{U}$
- A family  $\mathcal{C}A : \mathcal{C}O \times \mathcal{C}O \rightarrow \mathcal{U}$
- A family  $\mathcal{C}I : \prod_{(x:\mathcal{C}O)} \mathcal{C}A(x,x) \rightarrow \mathcal{U}$
- A family  $\mathcal{C}T : \prod_{(x,y,z:\mathcal{C}O)} \mathcal{C}A(x,y) \rightarrow \mathcal{C}A(y,z) \rightarrow \mathcal{C}A(x,z) \rightarrow \mathcal{U}$



Here:

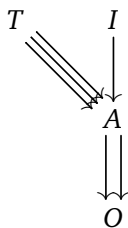
- Think of  $\mathcal{C}I$ ,  $\mathcal{C}T$  as the *predicates* ‘is an identity’, ‘is a composite’.
- $\mathcal{L}_{\text{cat}}$ -*univalence* will imply that  $\mathcal{C}I$ ,  $\mathcal{C}T$  are pointwise propositions.
- $\mathcal{L}_{\text{cat}}$ -*univalence* will imply that  $\mathcal{C}A$  is pointwise a set.
- $\mathcal{L}_{\text{cat}}$ -*univalence* will imply that  $\mathcal{C}O$  is a 1-type.



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Remark: these  $\mathcal{L}_{\text{cat}}$ -structures do not satisfy any axioms, e.g.,

- composition is a functional relation
- left and right unitality for composition

Such axioms will be discussed now.

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## Equality

To the data, we add axioms such as

- “There is a composite of every composable pair of arrows.”

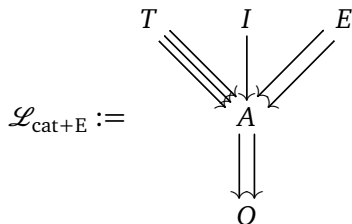
$$\forall(x, y, z : O). \forall(f : A(x, y)). \forall(g : A(y, z)). \exists(h : A(x, z)). T_{x,y,z}(f, g, h)$$

- “Composites are unique.”

$$\forall(x, y, z : O). \forall(f : A(x, y)). \forall(g : A(y, z)). \forall(h, h' : A(x, z)).$$

$$T_{x,y,z}(f, g, h) \rightarrow T_{x,y,z}(f, g, h') \rightarrow (h = h')$$

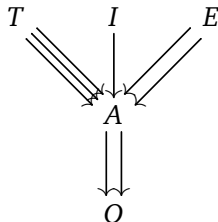
So we need to add an equality ‘predicate’:



## $\mathcal{L}_{\text{cat+E}}$ -structures

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- A family  $\mathcal{C}E : \prod_{(x,y:\mathcal{C}O)} \mathcal{C}A(x,y) \rightarrow \mathcal{C}A(x,y) \rightarrow \mathcal{U}$



Here:

- $\mathcal{L}_{\text{cat+E}}$ -*univalence* will imply that  $\mathcal{C}E$  is a proposition.
- $\mathcal{L}_{\text{cat+E}}$ -*univalence* + axioms making  $E$  into an equivalence relation and congruence will imply that  $(f = g) = \mathcal{C}E(f, g)$ .

## 1-univalent FOLDS-categories

A 1-univalent FOLDS-category consists of an  $\mathcal{L}_{\text{cat}+\text{E}}$ -structure

- $\mathcal{C}O : \mathcal{U}$
- $\mathcal{C}A : \mathcal{C}O \times \mathcal{C}O \rightarrow \mathcal{U}$
- $\mathcal{C}I : \prod_{(x:\mathcal{C}O)} \mathcal{C}A(x,x) \rightarrow \mathcal{U}$
- $\mathcal{C}T : \prod_{(x,y,z:\mathcal{C}O)} \mathcal{C}A(x,y) \rightarrow \mathcal{C}A(y,z) \rightarrow \mathcal{C}A(x,z) \rightarrow \mathcal{U}$
- $\mathcal{C}E : \prod_{(x,y:\mathcal{C}O)} \mathcal{C}A(x,y) \rightarrow \mathcal{C}A(x,y) \rightarrow \mathcal{U}$

such that

- $\mathcal{C}I_x(f)$ ,  $\mathcal{C}T_{x,y,z}(f,g,h)$ , and  $\mathcal{C}E_{x,y}(f,g)$  are propositions
- $\mathcal{C}A(x,y)$  is a set,
- $\mathcal{C}E_{x,y}(f,g) = (f = g)$ ,

and the axioms of a category are satisfied.

### Lemma

*The type of 1-univalent FOLDS-cats is equivalent to the type of (pre)categories.*

## Summary: equality and axioms

### Summary on equality

- Can add equality predicate
- Typically add equality on top-level (e.g., for  $A$ , but not for  $O$ )
- Imposing suitable axioms on equality predicate ensures it is equivalent to actual equality

### Remark

The notion of isomorphism and univalence we give does not depend on axioms for structures, but only on the shape of structures, i.e., on the signature

# Outline

- 1 Primer: Martin-Löf type theory
- 2 Some important concepts in univalent foundations
- 3 The Structure Identity Principle for set-level structures
- 4 Equivalence principle for categories
- 5 FOLDS signature and structures for categories
- 6 Digression: equality and theories
- 7 Isomorphisms and univalence**
- 8 Equivalence principle for FOLDS structures

# Univalent FOLDS-categories

## Goal

To state the univalence condition

$$(a = b) \simeq (a \cong b)$$

for categories in terms of the the FOLDS structure.

Given  $a, b : \mathcal{C}O$ , we can define an isomorphism  $a \cong b$  using the Yoneda Lemma:

- For each  $x : \mathcal{C}O$ , an equality  $\phi_{x\bullet} : \mathcal{C}A(x, a) = \mathcal{C}A(x, b)$ .
- For each  $x, y : \mathcal{C}O, f : \mathcal{C}A(x, y), g : \mathcal{C}A(y, a),$  and  $h : \mathcal{C}A(x, a),$  we have

$$\mathcal{C}T_{x,y,a}(f, g, h) = \mathcal{C}T_{x,y,b}(f, \phi_{y\bullet}(g), \phi_{x\bullet}(h))$$

with  $\phi_{y\bullet}(g) \circ f = \phi_{x\bullet}(g \circ f)$

This is a bit ad hoc and not symmetric.



## FOLDS isomorphism for categories

Instead, can define  $a \cong b$  to consist of the following equalities between all the types of our signature with  $a$  and  $b$  substituted in *all* possible ways:

- For each  $x : \mathcal{C}O$ , an equality  $\phi_{x\bullet} : \mathcal{C}A(x, a) = \mathcal{C}A(x, b)$ .
- For each  $z : \mathcal{C}O$ , an equality  $\phi_{\bullet z} : \mathcal{C}A(a, z) = \mathcal{C}A(b, z)$ .
- An equality  $\phi_{\bullet\bullet} : \mathcal{C}A(a, a) = \mathcal{C}A(b, b)$ .
- The following equalities for all appropriate  $w, x, y, z, f, g, h$ :

$$T_{x,y,a}(f, g, h) = T_{x,y,b}(f, \phi_{y\bullet}(g), \phi_{x\bullet}(h))$$

$$T_{x,a,z}(f, g, h) = T_{x,b,z}(\phi_{x\bullet}(f), \phi_{\bullet z}(g), h)$$

$$T_{a,z,w}(f, g, h) = T_{b,z,w}(\phi_{\bullet z}(f), g, \phi_{\bullet w}(h))$$

$$T_{x,a,a}(f, g, h) = T_{x,b,b}(\phi_{x\bullet}(f), \phi_{\bullet\bullet}(g), \phi_{x\bullet}(h))$$

$$T_{a,x,a}(f, g, h) = T_{b,x,b}(\phi_{\bullet x}(f), \phi_{x\bullet}(g), \phi_{\bullet\bullet}(h))$$

$$T_{a,a,x}(f, g, h) = T_{b,b,x}(\phi_{\bullet\bullet}(f), \phi_{\bullet x}(g), \phi_{\bullet x}(h))$$

$$T_{a,a,a}(f, g, h) = T_{b,b,b}(\phi_{\bullet\bullet}(f), \phi_{\bullet\bullet}(g), \phi_{\bullet\bullet}(h))$$

$$I_{a,a}(f) = I_{b,b}(\phi_{\bullet\bullet}(f))$$

$$E_{x,a}(f, g) = E_{x,b}(\phi_{x\bullet}(f), \phi_{x\bullet}(g))$$

$$E_{a,x}(f, g) = E_{b,x}(\phi_{\bullet x}(f), \phi_{\bullet x}(g))$$

$$E_{a,a}(f, g) = E_{b,b}(\phi_{\bullet\bullet}(f), \phi_{\bullet\bullet}(g))$$

“Everything above  $a, b$  thinks that  $a$  and  $b$  are the same.”

# Univalent FOLDS categories

## Theorem

*In any 1-univalent FOLDS category, the type of isomorphisms  $a \cong b$  just defined is equivalent to the type of ordinary isomorphisms  $a \cong b$ .*

## Definition

A univalent FOLDS category is a 1-univalent FOLDS category such that for all  $a, b : \mathcal{C}O$ , the canonical map

$$(a = b) \rightarrow (a \cong b)$$

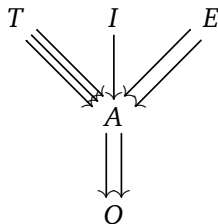
is an equivalence.

## Theorem

*A 1-univalent FOLDS category is univalent if and only if its corresponding precategory is a univalent category.*

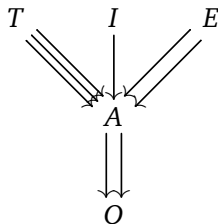
## Univalence at $O$ , at $A$ , at $T$ , $I$ , and $E$

- For an  $\mathcal{L}_{\text{cat+E}}$ -structure  $\mathcal{C}$ , we have given a definition of isomorphism for two objects  $a, b : \mathcal{C}O$ .
- This definition does not depend on the axioms of a category (e.g., composition is a function), but only on the signature.



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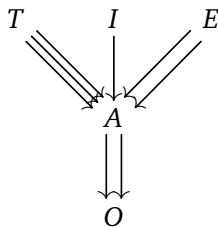


The same principle gives a definition of isomorphism between

- two morphisms  $f, g : \mathcal{C}A$  between same objects
- two triangles  $c, d : \mathcal{C}T$  in the same fiber, etc.

## Univalence at $T$

- For any  $c, d : \mathcal{C}T_{x,y,a}(f, g, h)$ , everything above  $c, d$  “thinks”  $c$  and  $d$  are the same, trivially.
- So  $(c \cong d) = 1$ , and  $\mathcal{C}T$  being univalent means that  $(c = d) = (c \cong d)$ .
- Thus,  $\mathcal{C}$  being univalent at  $T$  means that each  $\mathcal{C}T_{x,y,a}(f, g, h)$  is a proposition.
- Similar for  $I$  and  $E$



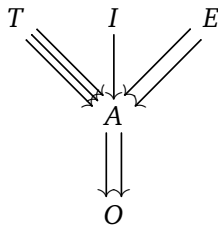
# Univalence at $A$

Let  $\mathcal{C}$  be a  $\mathcal{L}_{\text{cat}}$ -structure that is univalent at  $T$ ,  $I$ , and  $E$ .

- For any  $f, g : \mathcal{C}A(a, b)$ , the type  $f \cong g$  is a product of equivalences

$$(f \cong g) = \left( T(f, k, h) = T(g, k, h) \right) \times \dots$$

- These types of equivalences are propositions.
- Thus,  $\mathcal{C}$  being univalent at  $A$  means that each  $\mathcal{C}A(a, b)$  is a set.



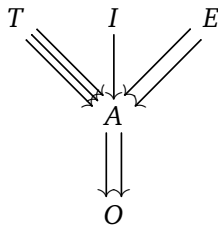
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$$(f \cong g) = (T(f, k, h) = T(g, k, h)) \times \dots$$

- These types of equivalences are propositions.
- Thus,  $\mathcal{C}$  being univalent at  $A$  means that each  $\mathcal{C}A(a, b)$  is a set.
- Also,  $f \cong g$  implies  $E_{a,b}(f, g)$  and conversely, hence univalence at  $A$  means  $(f = g) = E_{a,b}(f, g)$ .



## Categorical equivalences

For univalent FOLDS categories  $\mathcal{C}, \mathcal{D}$ , we had an equivalence.

$$(\mathcal{C} = \mathcal{D}) \simeq (\mathcal{C} \simeq \mathcal{D})$$

We can also FOLDS-ify categorical equivalences:

- A very split surjective morphism  $F : \mathcal{C} \rightarrow \mathcal{D}$  of  $\mathcal{L}_{\text{cat}+\text{E}}$ -structures consists of split surjections
  - $F_O : \mathcal{C}O \rightarrow \mathcal{D}O$
  - $F_A : \prod_{x,y:\mathcal{C}O} \mathcal{C}A(x,y) \rightarrow \mathcal{D}A(F_Ox, F_Oy)$
  - $F_T : \prod_{x,y,z:\mathcal{C}O, f:\mathcal{C}A(x,y), g:\mathcal{C}A(y,z), h:\mathcal{C}A(x,z)} \mathcal{C}T(f, g, h) \rightarrow \mathcal{D}T(F_Af, F_Ag, F_Ah)$
  - ...

### Theorem

For univalent FOLDS categories  $\mathcal{C}$  and  $\mathcal{D}$  we have

$$(\mathcal{C} \rightarrow \mathcal{D}) \simeq (\mathcal{C} \simeq \mathcal{D})$$



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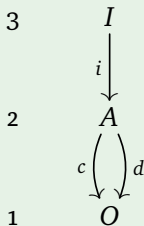
## Reminder: signatures

### Definition

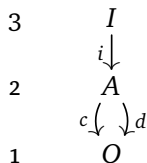
A signature is a graded one-way semicategory of finite height:

- all arrows go “downwards”
- the height is the minimum natural number with non-empty type of objects

### Example (Signature for reflexive graphs)

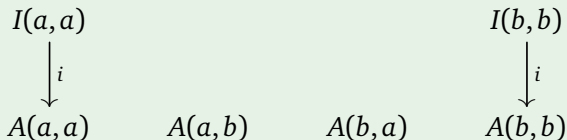


## Derivation by example



### Example

In  $\mathcal{L}_{\text{rg}}$  we have  $(\mathcal{L}_{\text{rg}})_0 \equiv \{O\}$ . Let  $M_0$  be a (a function picking out) the two-element set  $\{a, b\}$ . Then  $(\mathcal{L}_{\text{rg}})'_{M_0}$  is the following signature, with four sorts of rank 0 and two sorts of rank 1:



# Structures of a signature

## Example

An  $\mathcal{L}_{\text{rg}}$ -**structure** is given by

- a type  $M_0$
- recursively, a structure for the signature  $(\mathcal{L}_{\text{rg}})'_{M_0}$

## Definition

An  $\mathcal{L}$ -**structure**  $M$  is given by

- a function  $M_0 : \mathcal{L}_0 \rightarrow \mathcal{U}$
- recursively, a structure  $M'$  for the signature  $(\mathcal{L})'_M$

There are also suitable notions of

- morphism of structures
- isomorphism of structures

# $\mathcal{L}$ -isomorphism

## Definition

Let  $M$  be an  $\mathcal{L}$ -structure,  $K : \mathcal{L}_0$ , and  $a, b : MK$ . The type  $a \cong_M b$  of  $\mathcal{L}$ -isomorphisms from  $a$  to  $b$  is defined to be the type of levelwise equivalences  $s : M_a \cong M_b'$  in  $\mathbf{Struc}_{\mathcal{L}'_{M_0+1_K}}$  under  $(M + 1_K)'$ , i.e. those  $s$  such that the following triangle commutes:

$$\begin{array}{ccc} & & M_a' \\ & \nearrow & \downarrow \cong \\ (M + 1_K)' & & s \\ & \searrow & \downarrow \\ & & M_b' \end{array}$$

# $\mathcal{L}$ -univalence

## Definition ( $\mathcal{L}$ -univalence)

Let  $K$  be an object of  $\mathcal{L}_0$ . We say that  $M$  is **univalent at  $K$**  if

$$\prod_{x,y:MK} \text{isequiv}(\text{idtoiso}_{x,y}^{MK})$$

We say that  $M$  is  **$\mathcal{L}$ -univalent** if

- $M$  is  $K$ -univalent for every  $K : \mathcal{L}_0$  and
- $M'$  is univalent.

# Results

## Theorem

Let  $\mathcal{L} : \text{Sig}(n+1)$ ,  $M$  a univalent  $\mathcal{L}$ -structure,  $K : \mathcal{L}_0$ . Then  $M_0(K)$  is of  $h$ -level  $n+1$ .

## Theorem

For a signature  $L : \text{Sig}(n)$ , the type of univalent  $L$ -structures is of  $h$ -level  $n+1$ .

## Theorem (Higher Structure Identity Principle)

Consider  $\mathcal{L}$ -structures  $M, N$  for some signature  $\mathcal{L}$  such that  $M$  is univalent. Then

$$(M = N) = (M \rightarrow N)$$

# Examples

- First-order logic (with equality)
- Categories
- Dagger categories
- (Ana)functors
- Profunctors
- Displayed categories / Fibrations



# Remarks

## Preprint to be on the arXiv soon

- Two notions of signature: FOLDS-signatures and axiomatic signatures
- Translation from FOLDS- to axiomatic signatures
- Examples in terms of FOLDS-signatures
- Abstract reasoning about axiomatic signatures

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**Thanks for your attention!**