

# Models of type theory in univalent mathematics

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2015-06-30

# Outline

- ① UniMath: a library of univalent mathematics
- ② Formalizing models of type theory in UniMath

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- 1 UniMath: a library of univalent mathematics
- 2 Formalizing models of type theory in UniMath

## What is UniMath?

- one of several libraries of univalent mathematics
- using the `Coq` proof assistant (following branch V8.5 atm)
- combines several libraries:
  - `Foundations` by Voevodsky
  - `RezkCompletion` by Ahrens, Kapulkin, Shulman
  - `Ktheory` by Grayson
  - `PAdics` by Pelayo, Voevodsky, Warren)
- Base for several more libraries:
  - Work on substitution systems by Ahrens, Matthes
  - Formalization of cubical model by Mörtberg
  - Models of type theory by Ahrens, Lumsdaine, Voevodsky (see later)

## What is UniMath?

- Since V8.5beta2: use of **vanilla Coq**, no patches necessary
- Crucial flags `-indices-matter`, `-type-in-type`
- General philosophy of **UniMath**: stay within MLTT fragment of CIC, for kernel:
  - no use of records
  - no use of type classes
  - no use of general inductive declarations given via **Inductive** scheme
- Univalence taken as axiom; no HITs

<https://github.com/UniMath/UniMath>

# Constituent pieces I: Foundations

- Written by Voevodsky, 2009 – today
- approx. 6500loc (but very long ones), 820k chars

## Contents

- basic (and less basic) HoTT stuff
- set quotients
- algebraic hierarchy: from monoids to fields
- naturals, integers, rationals

## Constituent pieces II: RezkCompletion

- Written by Ahrens, Kapulkin, Shulman, 2012 – today
- approx. 6000loc, 240k chars

### Contents

- (pre)categories, functors, natural transformations, adjunctions, equivalences
- Rezk completion: from precategories to categories
- some limits

## Constituent pieces III: Ktheory

- Written by Grayson, 2013 – 2014
- approx. 5000loc, 260k chars

### Contents

- groups by generators and relations, free groups
- abelian groups, group actions, torsors
- definition of  $B(G)$  and its covering space  $E(G)$ , proof (using univalence) that the loop space of  $B(G)$  is  $G$
- construction of the circle as  $B(\mathbb{Z})$



## Constituent pieces IV: PAdics

- Written by Pelayo, Voevodsky, Warren, 2011 – 2012
- approx. 3000loc, 230k chars

### Contents

- stuff about p-adic numbers?
- code not maintained, does not compile with current Foundations

POST-TALK EDIT: Warren is currently updating PAdics to the latest version of UniMath. For status info see <https://github.com/UniMath/UniMath>.

# Outline

- ① UniMath: a library of univalent mathematics
- ② Formalizing models of type theory in UniMath

# What is a type theory?

What is a type theory?

See Vladimir's talk.

## What is a model of type theory?

- “Model”: algebraic structure intended for interpreting syntax
- Various notions of “model” considered in this talk model a skeletal type theory without type/term constructors.
- For now, model just type dependency and substitution.

### Data modeled in such a model

- contexts and their morphisms
- types and terms in context
- substitution with respect to context morphisms

# Notions of “model of type theory”

## The zoo of “models of type theory”

- categories with families
- categories with attributes
- contextual categories
- comprehension categories
- type categories
- categories with display maps
- ...

## Notions of “model of type theory”

- In general, a model is a category with extra structure.
- The alternatives differ in how the various data are represented, **algebraically** or **categorically**

**algebraically** given by operations satisfying equations

**categorically** given as objects satisfying universal property

# Notions of “model of type theory”

How do they relate to each other?

## In classical set-theoretic foundations

For overview see <http://ncatlab.org/nlab/show/categorical+model+of+dependent+types>

## In univalent foundations

Additional parameters:

- strong vs. weak existence
- two notions of “category” (details later)

entail further bifurcations of those notions

# Goals

## Goal of this project

- Vary some of these parameters and compare the resulting notions
- Formalize in **UniMath**

More specifically, comparing means:

- ① construct functions between the various types of models
- ② prove properties of maps: injectivity, equivalence, ...



## Functions vs. functors

- in set theory **functors** are the only meaningful way to compare these notions (constructing adjunctions or similar): equality is too strict, injectivity of functions would not be meaningful
- univalent identity in type theory makes injectivity meaningful as a property of **functions** between the types of models

## Interlude: (pre)categories in univalent mathematics

A precategory is

- a type  $O : \mathcal{U}$  of objects
- a dependent type  $A : O \times O \rightarrow \mathcal{U}$  of arrows
- $\text{id} : \prod_{(a:O)} A(a, a)$
- $(\circ) : \prod_{(a,b,c:O)} A(a, b) \times A(b, c) \rightarrow A(a, c)$
- axioms postulating equalities of arrows

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such that

$$\text{idtoiso} : \prod_{a,b:O} (a = b) \rightarrow \text{iso}(a, b)$$

is an equivalence.

## Examples of categories

Precategories that are categories:

- $\mathbf{hSets}$
- Groups, rings,  $\dots$  (Structure Identity Principle)
- Functor category  $[C, D]$ , if  $D$  is a category

Non-example:



(indiscrete precategory on two objects)

# Rezk completion: from precategories to categories

- Every category is a precategory
- Conversely, turn a precategory  $C$  into a category via “Rezk completion”, a (homotopy) quotient of  $C$

## Intuition behind the Rezk completion

add as many identities between objects  $a$  and  $b$  as there are isomorphisms

# Rezk completion and models of type theory

Reminder: notion of model is given by (pre)category with structure.

## Interplay between Rezk completion and structure of model

- 1 Does a given structure on a precategory  $C$  induce a structure on its Rezk completion?
- 2 Does the map  $structure_1 \rightarrow structure_2$  depend on the underlying precategory being a category?

# Uniqueness of limits in categories

## Lemma

*In a category, limiting cones are unique up to propositional equality.*

Put differently,

in a category, “specified pullbacks” is a property.



## Notions of models considered

- Categories with Families
- Comprehension Categories, plus the “split” version
- Categories with Display Maps

A short overview. . .

## Categories with Families

A precategory with families is a precategory  $\mathcal{C}$  with

- for any  $\Gamma : \mathcal{C}_0$ , a set  $\mathcal{C}(\Gamma)$ ;
- for any  $\Gamma : \mathcal{C}_0$  and  $A : \mathcal{C}(\Gamma)$ , a set  $\mathcal{C}(\Gamma \vdash A)$ ;
- for any  $\gamma : \mathcal{C}(\Gamma', \Gamma)$ , a *reindexing* function  $\mathcal{C}(\Gamma) \rightarrow \mathcal{C}(\Gamma')$ ,  $A \mapsto A[\gamma]$ ;
- for any  $\gamma : \mathcal{C}(\Gamma', \Gamma)$  and  $A : \mathcal{C}(\Gamma)$ , a function  $\mathcal{C}(\Gamma \vdash A) \rightarrow \mathcal{C}(\Gamma \vdash A[\gamma])$ ,  $a \mapsto a[\gamma]$ ;
- for any  $\Gamma : \mathcal{C}_0$  and  $A : \mathcal{C}(\Gamma)$ , an object  $\Gamma.A$  and a *projection* morphism  $\pi_A : \mathcal{C}(\Gamma.A, \Gamma)$ ;
- for any  $\Gamma : \mathcal{C}_0$  and  $A : \mathcal{C}(\Gamma)$ , a *generic element*  $\nu : \mathcal{C}(\Gamma.A \vdash A[\pi_A])$ ;
- *pairing*, corresponding to extension of context morphisms;
- laws ...

## Comprehension Categories

A comprehension precategory is a precategory  $\mathcal{C}$  with

- for any object  $\Gamma : \mathcal{C}_0$ , a type  $\mathcal{C}(\Gamma)$ ,
- for any  $A : \mathcal{C}(\Gamma)$ , an object  $\Gamma.A : \mathcal{C}_0$ ,
- *projection* morphisms  $\pi_{(\Gamma,A)} : \mathcal{C}(\Gamma.A, \Gamma)$ ,
- for any morphism  $\gamma : \mathcal{C}(\Gamma', \Gamma)$ , a *reindexing* function  $\mathcal{C}(\Gamma) \rightarrow \mathcal{C}(\Gamma')$ ,  $A \mapsto A[\gamma]$ ,
- for any  $\gamma : \mathcal{C}(\Gamma', \Gamma)$  and  $A : \mathcal{C}(\Gamma)$ , a morphism  $q_{(\gamma,A)} : \mathcal{C}(\Gamma'.A[\gamma], \Gamma.A)$ ,
- for any  $\gamma : \mathcal{C}(\Gamma', \Gamma)$  and  $A : \mathcal{C}(\Gamma)$ ,

$$\begin{array}{ccc} \Gamma'.A[\gamma] & \xrightarrow{q_{(\gamma,A)}} & \Gamma.A \\ \pi_{(\Gamma',A[\gamma])} \downarrow & & \downarrow \pi_{(\Gamma,A)} \\ \Gamma' & \xrightarrow{\gamma} & \Gamma \end{array}$$

- for any  $\gamma : \mathcal{C}(\Gamma', \Gamma)$  and  $A : \mathcal{C}(\Gamma)$ , the above square is a pullback.

## Split comprehension precategories

A comprehension category as above is *split* if

- $\mathcal{C}(\Gamma)$  is a set for each  $\Gamma$ ,
- reindexing (of types) is functorial
- $q$  is functorial

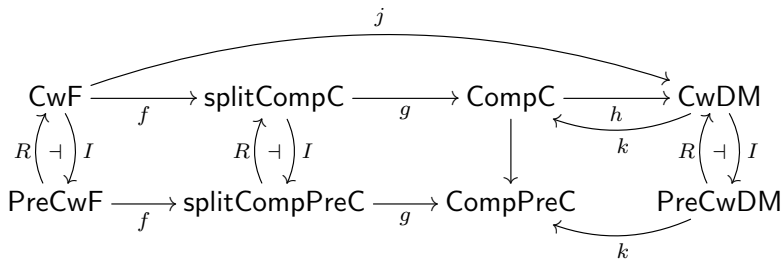
POST-TALK EDIT: what is called “comprehension category” here should really be called “type category” after A. Pitts, *Categorical Logic*, 2000, Def. 6.3.3. This has since been renamed in our development.

## Categories with Display Maps

A precategory with display maps is given by a precategory  $\mathcal{C}$  with

- for any  $\Delta, \Gamma : \mathcal{C}_0$ , a subtype  $\mathbf{DM}_{\Delta, \Gamma} : \mathcal{C}(\Delta, \Gamma) \rightarrow \mathbf{Prop}$
- $\mathbf{DM}$  is closed under isomorphism (in the arrow precategory), and
- display maps have (specified) pullbacks along all maps; and they are again display maps.

## Conjectural relation between models



- Maps  $f, g, h, j, k$  do not change the underlying (pre)category
- $g$  is injective (forgets splitness)
- $j = h \circ g \circ f$
- Conjecture:  $f$  is an equivalence
- Conjecture: left adjoints  $R$  to inclusions  $I$  exist

# Current status of the project

## Completed

- Construction of maps between different structures

## Not completed

- Proofs of properties of constructed maps
- Compatibility of structures with Rezk completion

## Details about the constructed maps

- All the maps constructed between different structures leave the underlying (pre)category unchanged
- Maps  $\mathbf{CwF} \rightarrow \mathbf{CwDM}$  and  $\mathbf{CompC} \rightarrow \mathbf{CwDM}$  use the fact that “specified pullbacks” is a property in categories



## Details about the formalization

- 2500loc
- needs `-type-in-type`

### Rewriting by hand:

- `rewrite lemma` mostly fails
- instead, use `etransitivity`; isolate subterm; `apply lemma`
- side effect: produces nice identity terms
- possible to automate (proof-relevant rewriting)?

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Thanks for your attention.