

Univalent Foundations

III. Set-level mathematics

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Outline

- 1 Reminder: homotopy levels
- 2 How to show that something is (not) a set?
- 3 Subtypes, relations, set-level quotient
- 4 Algebraic structures

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Definition of homotopy levels

$\text{isofhlevel} : \text{Nat} \rightarrow \text{Type} \rightarrow \text{Prop}$

$\text{isofhlevel}(0)(X) \equiv \text{isContr}(X)$

$\text{isofhlevel}(S(n))(X) \equiv \prod_{x, x' : X} \text{isofhlevel}(n, x \rightsquigarrow x')$

Definition

$\text{Set} \equiv \sum_{X : \text{Type}} \text{isofhlevel}(2)(X)$

- Any two parallel paths in a *set* are homotopic.
- Any closed path (loop) on x is homotopic to the constant path $\text{refl}(x)$.

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Decidable equality

Definition

A type X is **decidable** if there is a term of type

$$X + \neg X$$

Definition

A type X has **decidable path-equality** if we can write a term of type

$$\prod_{x, x': A} (x \rightsquigarrow x') + \neg(x \rightsquigarrow x')$$

(that is, if all its paths types are decidable)

Hedberg's theorem

Theorem

If a type X has decidable equality, then it is a set.

In the problem session, we will show that Bool and Nat are sets.

Closure properties

- $\sum_{x:A} B(x)$ is a set if A and all $B(x)$ are
- $A \times B$ is a set if A and B are
- $\prod_{x:A} B(x)$ is a set if all $B(x)$ are
- $A \rightarrow B$ is a set if B is

- A is a set if it is a proposition

Exercise

Do you know

- a type that is a set?
- a type for which you don't know (yet) whether it is a set?
- a type for which you know it is not a set?

Another set

Theorem

The type

$$\text{Prop} \quad :\equiv \quad \sum_{X:\text{Type}} \text{isaprop}(X)$$

is a set.

The proof relies on the univalence axiom for the universe `Type`.

Exercise

How would you generalize the above statement to any h-level?

How would you attempt proving it?

Are all types sets?

Is there a type that is not a set?

It depends:

- In Martin-Löf type theory some types can not be shown to be sets.
- In univalent type theory some types can be shown not to be sets.

Types that are **not** sets

Suppose that `Type` is a univalent universe containing the type `Bool`.

Exercise

Show that `Type` not a set.

Which property of `Bool` does the proof of the above result exploit?

Exercise

Show that

$$\text{Set} \equiv \sum_{X:\text{Type}} \text{isofhlevel}(2)(X)$$

is not a set. Does it have an h-level?

Sets and propositions

It is often useful for types representing “properties” to be propositions (as we’ll see later).

Properties involving equality are usually propositions when the types involved are *sets*, but in general care is needed: given $f : X \rightarrow Y$,

$$\text{isInjective}(f) \quad :\equiv \quad \prod_{x,x':X} f(x) \rightsquigarrow f(x') \rightarrow x \rightsquigarrow x'$$

is not a proposition in general, but it is if X is a set.

Exercise

Define $\text{isInjective}(f)$ in a such a way that it is a proposition for X and Y of any level.

Isomorphism vs. equivalence

Given $f : A \rightarrow B$,

$$\text{isiso}(f) \quad :\equiv \quad \sum_{g:B \rightarrow A} (g \circ f \rightsquigarrow 1_A) \times (f \circ g \rightsquigarrow 1_B)$$

is **not** a proposition in general, but it is if A and B are sets.

Warning

Stating the univalence axiom with isomorphisms instead of equivalences yields an inconsistency.

When A and B are sets, then $\text{isiso}(f) \simeq \text{isequiv}(f)$.

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Predicates on types

A **subtype** A on a type X is a map

$$A : X \rightarrow \text{Prop}$$

Exercise

Show that the type of subtypes of X is a set.

The **carrier** of a subtype A is the type of elements satisfying A :

$$\text{carrier}(A) := \sum_{x:X} A(x)$$

Relations on a type

A **binary relation** R on a type X is a map

$$R : X \rightarrow X \rightarrow \text{Prop}$$

Exercise

Show that the type of binary relations on X is a set.

Properties of such relations are defined as usual, e.g.,

$$\text{reflexive}(R) \quad :\equiv \quad \prod_{x:X} R(x)(x)$$

Exercise

Formulate the properties of being symmetric, transitive, an equivalence relation.

Set-level quotient

Given type X and an equivalence relation R on X , the **quotient**

$$X \xrightarrow{p} X/R$$

is defined as the unique pair $(X/R, p)$ such that any compatible map f **into a set** Y factors via p :

$$\begin{array}{ccc} X & & \\ \downarrow p & \searrow f & \\ X/R & \xrightarrow{\exists! f'} & Y \text{ (set)} \end{array}$$

I.e., the map given by precomposition with p is an equivalence

$$\sum_{f: X \rightarrow Y} \text{iscompatible}(f) \simeq X/R \rightarrow Y$$

The quotient set

To define the quotient X/R of a set by an equivalence relation, we proceed as usual in set theory:

- First we define for a subtype $A : X \rightarrow \text{Prop}$

$$\begin{aligned} \text{iseqclass}(A) &:\equiv \|\text{carrier}(A)\| \\ &\times \prod_{x,y:A} Rxy \rightarrow Ax \rightarrow Ay \\ &\times \prod_{x,y:A} Ax \rightarrow Ay \rightarrow Rxy \end{aligned}$$

- Then we define

$$X/R \quad :\equiv \sum_{A:X \rightarrow \text{Prop}} \text{iseqclass}(A)$$

Exercise

Show that X/R is a set. Show that it has the desired universal property of a quotient.

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Reminder: paths between pairs

Given $B : A \rightarrow \text{Type}$ and $a, a' : A$ and $b : B(a)$ and $b' : B(a')$,

$$(a, b) \rightsquigarrow (a', b') \simeq \sum_{p : a \rightsquigarrow a'} \text{transport}^B(p, b) \rightsquigarrow b'$$

If $B(x)$ is a proposition for any $x : A$, then this can be simplified to

$$(a, b) \rightsquigarrow (a', b') \simeq a \rightsquigarrow a'$$

Exercise

Why?

Monoids

Traditionally (in set theory), a monoid is a triple (M, μ, e) of

- a set M
- a multiplication $\mu : M \times M \rightarrow M$
- a unit $e \in M$

subject to the usual axioms: associativity, and left and right neutrality.

Monoids in type theory

In type theory, a monoid is a tuple $(M, \mu, e, \alpha, \lambda, \rho)$ where

1. $M : \text{Set}$
2. $\mu : M \times M \rightarrow M$ (multiplication)
3. $e : M$ (neutral element)
4. $\alpha : \prod_{(a,b,c:M)} \mu(\mu(a,b), c) \rightsquigarrow \mu(a, \mu(b,c))$ (associativity)
5. $\lambda : \prod_{(a:M)} \mu(e, a) \rightsquigarrow a$ (left neutrality)
6. $\rho : \prod_{(a:M)} \mu(a, e) \rightsquigarrow a$ (right neutrality)

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Why $M : \text{Set}$?

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Why $M : \text{Set}$?

Abstractly, a monoid is a (dependent) pair $(data, proof)$ where

- *data* is a triple (M, μ, e) as above
- *proof* is a triple (α, λ, ρ) saying that $(data)$ satisfy the usual axioms.

The type of monoids

- We want to regard two monoids $(data, proof)$ and $(data', proof')$ as being the same if $data$ is the same as $data'$.
- This requires that the type encoding the monoid axioms be a **proposition**.
- This is in turn guaranteed as long as the underlying type M is required to be a **set**.

$$\text{Monoid} \quad :\equiv \quad \sum_{(M:\text{Set})} \sum_{(\mu, e):\text{MonoidStr}(M)} \text{MonoidAxioms}(M, (\mu, e))$$

with

$$\text{isProp}(\text{MonoidAxioms}(M, (\mu, e)))$$

Monoid isomorphisms

Given monoids $\mathbf{M} \equiv (M, \mu, e, \alpha, \lambda, \rho)$ and $\mathbf{M}' \equiv (M', \mu', e', \alpha', \lambda', \rho')$, a **monoid isomorphism** is a bijection $f : M \cong M'$ preserving multiplication and neutral element.

$$\begin{aligned} \mathbf{M} \rightsquigarrow \mathbf{M}' &\simeq (M, \mu, e) \rightsquigarrow (M', \mu', e') \\ &\simeq \sum_{p: M \rightsquigarrow M'} (\text{transport}^{Y \mapsto (Y \times Y \rightarrow Y)}(p, \mu) \rightsquigarrow \mu') \\ &\quad \times (\text{transport}^{Y \mapsto Y}(p, e) \rightsquigarrow e') \\ &\simeq \sum_{f: M \cong M'} (f \circ m \circ (f^{-1} \times f^{-1}) \rightsquigarrow m') \\ &\quad \times (f \circ e \rightsquigarrow e') \\ &\simeq \mathbf{M} \cong \mathbf{M}' \end{aligned}$$

Paths are isomorphisms for groups

$$\begin{aligned} \mathbf{G} \rightsquigarrow \mathbf{G}' &\simeq (G, S) \rightsquigarrow (G', S') \\ &\simeq \sum_{p: G \rightsquigarrow G'} \text{transport}^{\text{GrpStructure}}(p, S) \rightsquigarrow S' \\ &\simeq \sum_{p: G \rightsquigarrow G'} (\text{transport}^{Y \mapsto (Y \times Y \rightarrow Y)}(p, m) \rightsquigarrow m') \\ &\quad \times (\text{transport}^{Y \mapsto (Y \rightarrow Y)}(p, i) \rightsquigarrow i') \\ &\quad \times (\text{transport}^{Y \rightarrow Y}(p, e) \rightsquigarrow e') \\ &\simeq \sum_{f: G \simeq G'} (f \circ m \circ (f^{-1} \times f^{-1}) \rightsquigarrow m') \\ &\quad \times (f \circ i \circ f^{-1} \rightsquigarrow i') \\ &\quad \times (f(e) \rightsquigarrow e') \\ &\simeq (\mathbf{G} \cong \mathbf{G}') \end{aligned}$$

Transport along group isomorphism

We now have two ingredients:

1. $(\mathbf{G} \rightsquigarrow \mathbf{G}') \simeq (\mathbf{G} \cong \mathbf{G}')$
2. $\text{transport}^T : (\mathbf{G} \rightsquigarrow \mathbf{G}') \rightarrow T(\mathbf{G}) \rightarrow T(\mathbf{G}')$ for any structure T on the type of groups

Composing these, we get

$$\text{transport}^T : (\mathbf{G} \cong \mathbf{G}') \rightarrow T(\mathbf{G}) \rightarrow T(\mathbf{G}')$$

In other words, any property or structure on groups that can be expressed in univalent type theory can be transported along isomorphism of groups.

Structure Identity Principle

The *Structure Identity Principle* (Aczel, Coquand) says

Isomorphic mathematical structures are structurally identical; i.e. have the same structural properties.

The Structure Identity Principle holds in Univalent Foundations for many algebraic structures: isomorphic such structures have **all** the same (definable) properties.